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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 10/040,929  
Filing Date ..... December 31, 2001  
Inventor..... Venkatachari Dilip et al.  
Group Art Unit ..... 2164  
Examiner ..... Unknown  
Attorney's Docket No. ..... CE1-004US  
Title: Method and Apparatus for Managing Transactions

**PRELIMINARY AMENDMENT**

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

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PATENT & TRADEMARK OFFICE

Prior to examination of the above-identified patent application on the merits, please  
amend the application as follows:

04/03/2003 JBALINAN 00000019 120769 10040929

01 FC:2201 84.00 CH  
02 FC:2202 126.00 CH